



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	: Group No.: 1743
K. Covert (deceased) et al.	: Examiner:
Serial No: 09/274,935	: IBM CORPORATION
Filed: 03/23/99	: Intellectual Property Law
For: COPPER CLEANING	: 1701 North St. / Dept. N50
COMPOSITIONS, PROCESSES AND	: Endicott, NY 13760
PRODUCTS DERIVED THEREFROM	: Docket No.: EN997064

RESPONSE TO "NOTICE TO FILE MISSING PARTS OF APPLICATION"

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice To File Missing Parts of Application" received in the present patent application and dated 04/13/99, enclosed please find the executed declaration with power of attorney and the recordation form cover sheet with assignment. The Commissioner is authorized to charge any fees associated with this submission to IBM deposit account 09-0457.

Respectfully submitted,

Date:

June 10, 1999

By:

Lawrence R. Fraley  
Lawrence R. Fraley  
Reg. # 26,885  
Phone: (607) 755-3207

See

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): **K. L. Covert (deceased) et al**

Docket No.

**EN997064**

Serial No.

**09/274,935**

Filing Date

**03/23/99**

Examiner

Group Art Unit

**1743**

Invention: **COPPER CLEANING COMPOSITIONS, PROCESSES AND PRODUCTS DERIVED THEREFROM**

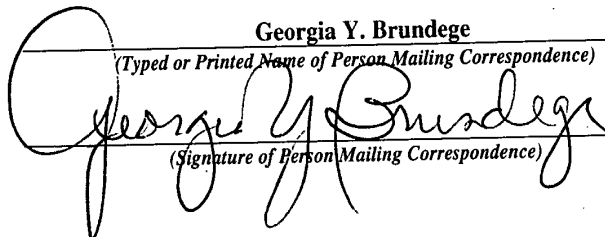


I hereby certify that this **Response to Missing Parts, Declaration, Letters Testamentary**  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on **06/11/99**  
(Date)

**Georgia Y. Brundage**

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**



RECEIVED

RECEIVED  
MAY 27 1999  
GROUP 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kathleen L. Covert (deceased) et al.  
Serial No.: 09/274,935  
Filed: March 23, 1999  
For: COPPER CLEANING COMPOSITIONS, PROCESSES AND PRODUCTS DERIVED THEREFROM  
Group No.: 1743  
Examiner: Not yet assigned  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in:

- ☒ Applicant's name and/or address
- ☐ Title
- ☐ Filing Date
- ☐ Serial Number
- ☐ Foreign/PCT Application
- ☒ Omitted

in that it should read as follows:

PETER A. MOSCHAK, CHENANGO FORKS, NY

RECEIVED  
GROUP 1700

Respectfully submitted,

SIGNATURE OF ATTORNEY

Mark Levy/SALZMAN & LEVY

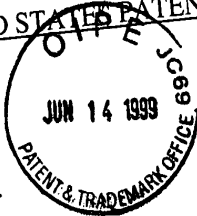
Type or print name of attorney

19 Chenango St., Ste. 606

P.O. Address

Binghamton, NY 13901

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of  
K. Covert (deceased) et al.

Serial No: 09/274,935

Filed: 03/23/99

For: COPPER CLEANING

COMPOSITIONS, PROCESSES AND  
PRODUCTS DERIVED THEREFROM

Group No.: 1743

: Examiner:

: IBM CORPORATION

: Intellectual Property Law

: 1701 North St. / Dept. N50

: Endicott, NY 13760

: Docket No.: EN997064

Assistant Commissioner for Patents  
Washington, D.C. 20231

COMMUNICATION

Dear Sir:

We are enclosing a true copy of the "Letters of Administration" for the Estate of Kathleen L. Covert, deceased, an inventor on the above identified application. This certifies Kelly Hawley, sister of the deceased, is qualified according to law as Independent Administrator of the Estate of Kathleen L. Covert.

The Commissioner is authorized to charge any fees associated with this submission to IBM deposit account 09-0457.

Respectfully submitted,

Date: June 10, 1999

By: Lawrence R. Fraley  
Lawrence R. Fraley  
Reg. # 26,885  
Phone: (607) 755-3207

STATE OF NEW YORK  
COUNTY OF BROOME }  
SURROGATE'S COURT } ss:



**CERTIFICATE  
OF  
APPOINTMENT**  
(Estate)

IT IS HEREBY CERTIFIED that letters in the estate of the decedent named below have been granted by this Court. The named representative(s) is authorized and empowered to do and perform all acts requisite to the proper administration and disposition of the estate of such decedent, in accordance with the laws of this State and the limitations, if any, contained in said letters as indicated below.

Name of Decedent: KATHLEEN L. COVERT 01 98-0329  
Domicile of Decedent  
Date/Place of Death: TOWN OF DICKINSON  
04-03-1998 MIDDLETOWN TWP. PA  
Representative(s) to Whom  
Letters Issued: KELLY HAWLEY  
Type of Letters Issued: LETTERS TESTAMENTARY  
Date Letters Issued: MAY 21, 1998

and such letters are unrevoked and in force as of this date.

(L.S.)

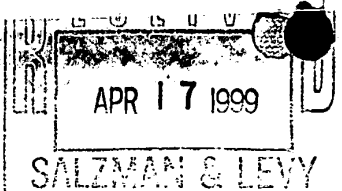
IN TESTIMONY WHEREOF, the seal of the  
Surrogate's Court of Broome County, New  
York, has been affixed.

WITNESS, HON. JOHN M. THOMAS,  
Surrogate of said County at the City of  
Binghamton, New York

**THIS CERTIFICATE IS NOT VALID  
WITHOUT A RAISED SEAL OF THE COURT**

Date: SEPTEMBER 15, 1998

Marilyn A. Vescio  
Chief Clerk of the Surrogate's Court



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/274,935	03/23/99	COVERT	K EN997064

MARK LEVY  
SALZMAN & LEVY  
19 CHENAGO ST  
SUITE 606  
BINGHAMTON NY 13901



0222/0413

NOT ASSIGNED

1743

DATE MAILED:

04/13/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

*Due 6/11/99*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Kim Duncan*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202